Noncollinear ground states of solids with a source-free exchange correlation functional

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In this paper, we expand upon the source-free (SF) exchange correlation (XC) functional developed by Sangeeta Sharma and coworkers to plane-wave density functional theory (DFT) based on the projector augmented wave (PAW) method. This constraint is implemented by the current authors within the VASP source code, using a fast Poisson solver that capitalizes on the parallel three-dimensional fast Fourier transforms (FFTs) implemented in VASP. Using this modified XC functional, we explore the improved convergence behavior that results from applying this constraint to the GGA-PBE+U+J functional. In the process, we compare the noncollinear magnetic ground state computed by each functional and their SF counterpart for a select number of magnetic materials in order to provide a metric for comparing with experimentally determined magnetic orderings. We observe significantly improved agreement with experimentally measured magnetic ground-state structures after applying the source-free constraint. Furthermore, we explore the importance of considering probability current densities in spin-polarized systems, even under no applied field. We analyze the XC torque as well, in order to provide theoretical and computational analyses of the net XC magnetic torque induced by the source-free constraint. Along these lines, we highlight the importance of properly considering the real-space integral of the source-free local magnetic XC field. Our analyses on probability currents, net torque, and constant terms draw additional links to the rich body of previous research on spin-current density functional theory (SCDFT), and pave the way for future extensions and corrections to the SF corrected XC functional.

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I. INTRODUCTION

It is a well-known physical fact that Maxwell's equations preclude the existence of unphysical magnetic monopoles. It is less conventional to apply this divergence-free constraint to density functional theory functionals for *ab initio* calculations. It has recently been a topic of exploration to apply this constraint to the exchange correlation component of the effective internal magnetic field B_{xc} [1,2]. Previous studies have shown that applying this physically inspired constraint to B_{xc} results in improved agreement with the majority of a small test set of over twenty experimentally measured magnetic structures, both in terms of magnetic moment magnitudes [1] and noncollinear ground states [2], which can be challenging to accurately match experiment with conventional DFT approaches.

There are two primary approaches for incorporating magnetism in density functional theory (DFT). The first approach is spin-density functional theory (SDFT), in which a functional is defined with respect to spin-up and spin-down electron densities, $\rho_{\uparrow}(\mathbf{r})$ and $\rho_{\downarrow}(\mathbf{r})$, respectively. The extension of SDFT to noncollinear magnetism motivates a spinor representation of the functional, inspired by the Pauli matrix spin-1/2 formalism. Under this reformulation, functionals of $\rho_{\uparrow}(\mathbf{r})$ and $\rho_{\downarrow}(\mathbf{r})$, and magnetization, which in both collinear and noncollinear formulations obeys the relationship

 $|\mathbf{m}(\mathbf{r})| = |\rho_{\uparrow}(\mathbf{r}) - \rho_{\downarrow}(\mathbf{r})|$, under a local diagonalization of the spinor 2 × 2 representation [3]. Details on the connection between the spinor and density/magnetization formulation of noncollinear DFT is touched on in Eqs. (S2), (S4), and (S5) in Sec. S2 B within the Supplemental Material (SM) [4].

The second DFT formulation that incorporates noncollinear magnetism is current density functional theory (CDFT). This methodology is commonly used to incorporate electrodynamic effects in time-dependent density functional theory (TDDFT) [5,6]. The "current" in CDFT conveys the reformulation of the functional to be minimized with respect to the spin-current density $j_s(r) \propto \nabla \times m(r)$, rather than m(r), the magnetization field itself [1]. Depending on the physical setting, in addition to j_s , other current densities enter into the functional [7,8], which we will return to in Sec. I B.

Several studies have explored the theoretical justification for reformulating SDFT functionals within the CDFT setting [1,7,9]. In particular, Sharma and coauthors show through variational calculus that applying a divergence-free constraint to B_{xc} is equivalent to redefining the exchange correlation energy functional $E_{xc}[\rho, m]$ in terms of $\nabla \times m(r)$, $E_{xc}[\rho, \nabla \times m]$, which is consistent with CDFT methodology [7].

In conventional SDFT [3], the exchange correlation local potential fields can be expressed as follows:

$$v_{xc}(\mathbf{r}) = \frac{1}{2}(v_{xc}^{\uparrow}(\mathbf{r}) + v_{xc}^{\downarrow}(\mathbf{r})),$$

$$\boldsymbol{B}_{xc}(\mathbf{r}) = \frac{1}{2}(v_{xc}^{\uparrow}(\mathbf{r}) - v_{xc}^{\downarrow}(\mathbf{r}))\hat{\boldsymbol{m}}(\mathbf{r}), \qquad (1)$$

which are the complementary local potential fields for total electron density ρ , and magnetization density m, respectively, and $\hat{m}(r)$ is the unit vector in the direction of the magnetization.

The source-free constraint is applied by projecting the original exchange-correlation magnetic field onto a divergencefree field. Consistent with the paper of Sharma and others, we use the fundamental theorem of vector calculus, the Helmholtz identity, to reformulate our equations in terms of vector and scalar fields. This theorem states that any oncedifferentiable, C^1 , vector field can be decomposed into a divergence free and a curl free component,

$$\boldsymbol{B}(\boldsymbol{r}) = -\nabla\phi(\boldsymbol{r}) + \nabla \times \boldsymbol{A}(\boldsymbol{r}) + \boldsymbol{B}$$
(2)

where ϕ is a scalar field, and A is a vector potential field. The identity is conveniently written in this way because of two important mathematical properties: the curl of a gradient is zero everywhere in the domain $\nabla \times \nabla \phi = 0$ and likewise for the divergence of the curl of a vector field $\nabla \cdot (\nabla \times A) = 0$. For a magnetic field B, such that $\nabla \cdot B = 0$, we can define $B = \nabla \times A$, where A is the well-known magnetic vector potential.

One may ask why we include a constant \overline{B} , which is not included in most statements of the Helmholtz identity. This comes down to the fact that under periodic boundary conditions,

$$\int_{\Omega} d\mathbf{r} \, \nabla \phi(\mathbf{r}) = \mathbf{0},\tag{3}$$

$$\int_{\Omega} d\boldsymbol{r} \, \boldsymbol{\nabla} \times \boldsymbol{A}(\boldsymbol{r}) = \boldsymbol{0},\tag{4}$$

by Eqs. (S12) and (S13) in Secs. S2C within the SM [4]. Therefore, we must include a constant term, such that $\int_{\Omega} d\mathbf{r} \mathbf{B}(\mathbf{r})$ need not be the zero vector.

By taking the divergence of both sides of Eq. (2), we see that, for the exchange-correlation magnetic field,

$$\nabla \cdot \boldsymbol{B}_{xc} = -\nabla \cdot (\nabla \phi) = -\nabla^2 \phi.$$
 (5)

Therefore, solving for ϕ requires the solution to the Poisson equation above, which is the method suggested by Sharma *et al.* for applying the source-free constraint [1]. It is interesting to note that $B'_{xc}(r)$ must be noncollinear, as we show in Sec. S2A within the SM [4].

Equation (2) can be employed rigorously, because while the Helmholtz decomposition is not unique, it can be under the correct constraints. The uniqueness of the gradient of the solution to the Poisson equation (e.g., $\nabla \phi$) can be used to prove this. In summary, each field in Eq. (2) can be uniquely solved for under periodic boundary conditions (PBCs), as follows:

(1) The curl-free term:

$$\nabla^2 \phi = -\nabla \cdot \boldsymbol{B}_{xc}.$$
 (6)

Therefore, $\nabla \phi$ is unique under PBCs.

(2) The divergence-free term:

$$\nabla^2 \boldsymbol{A}_{xc} = -\nabla \times \boldsymbol{B}_{xc}, \tag{7}$$

subject to the Coulomb gauge constraint, $\nabla \cdot A_{xc} = 0$ (see Appendix C). Under this constraint, $\nabla A_{xc,i}$ are unique under PBCs.

(3) The constant term:

$$\overline{\boldsymbol{B}}_{xc} = \frac{1}{V_{\Omega}} \int_{\Omega} d\boldsymbol{r} \, \boldsymbol{B}_{xc}(\boldsymbol{r}). \tag{8}$$

Therefore, in order to compute B'_{xc} , the source-free projection of B_{xc} , one simply needs to compute $\nabla \phi$,

$$B'_{xc}(\mathbf{r}) = B_{xc}(\mathbf{r}) + \nabla \phi(\mathbf{r})$$

= $B_{xc}(\mathbf{r}) + H_{xc}(\mathbf{r})$
= $\nabla \times A_{xc}(\mathbf{r}) + \overline{B}_{xc}.$ (9)

In other words, we leave \overline{B}_{xc} untouched from SDFT, to be consistent with Ref. [1]. In Secs. III F and II B, we explore the justifications and implications of this, and lay some potential groundwork for future studies to employ in a more careful treatment of the constant term, $\overline{B'}_{xc}$. In a concrete sense, we explore the role of $\overline{B'}_{xc}$ on the converged magnetic ground states in Sec. III F.

In the following sections, we examine some of the details of CDFT, and SCDFT by extension, which are important to the study of the source-free constraint on SDFT, and possible future directions of this paper. The topics of the following subsections of the introduction are outlined below:

(i) In Sec. IA, we explore the possible theoretical justifications for the degeneracies of noncollinear SDFT, based on the statements put forth by earlier studies.

(ii) Section I B provides an introduction to the probability current, and its contributions to E_{xc} , which are not explicitly accounted for in SDFT. Next, we explore extensions to metaGGA functionals. This extension will require the explicit treatment of the probability current, which enters into the kinetic energy density. Therefore, we do not include source-free metaGGA in our implementation.

(iii) In Sec. IC, we explore the zero torque theorem, and extensions thereof. We demonstrate that local magnetic torques can arise without violating conservation laws.

(iv) Finally, Sec. ID provides an overview of the relationship between the orbital magnetic moment and probability current, to further illustrate where these additional currents could play a more crucial role.

Next, following the Methods section, we highlight the results of this study, which include the following subsections:

(i) Section III A provides a quantitative analysis of the monopole density that arises in an SDFT description of Mn_3ZnN , and the effect of the source-free correction.

(ii) In Sec. III B, we examine the improved convergence properties of the source-free functional for a representative test set of noncollinear magnetic structures.

(iii) We also interrogate the improved convergence speed using the source-free functional in Sec. III C. The well-known hexagonal manganite $YMnO_3$ was chosen as a material test case [10].

(iv) In Sec. III D, we explore the computed orbital moments and probability current that arises in UO_2 to provide material grounds for future studies to examine the coupling between the probability current and XC vector potential.

(v) Since the net XC torque induced by the source-free correction has not been examined by other studies, to the best

of our knowledge, we provide a analysis of the net XC torque in Sec. III E, based on the theory presented in Sec. IC.

(vi) In addition to global XC torque, in Sec. III F we provide computational evidence for the importance of properly considering $\overline{B'}_{xc}$, which will be the subject of future studies.

A. The comparative degeneracies of E_{xc} for SDFT versus CDFT with respect to m

Capelle and Gross (CG) show that within SDFT, the exchange-correlation energy, E_{xc} only depends on the magnetization via the "spin vorticity" [7]

$$\mathbf{v}_{s}(\mathbf{r}) = \mathbf{\nabla} \times \left(\frac{\mathbf{j}_{s}(\mathbf{r})}{\rho}\right) = \frac{c}{q} \mathbf{\nabla} \times \left(\frac{\mathbf{\nabla} \times \mathbf{m}(\mathbf{r})}{\rho(\mathbf{r})}\right),$$
 (10)

where *c* and *q* are the speed of light and elementary charge, respectively. Therefore, transformations of the magnetization density, $m(r) \mapsto m'(r)$, of the form

$$\boldsymbol{m}'(\boldsymbol{r}) = \boldsymbol{m}(\boldsymbol{r}) + \nabla \alpha(\boldsymbol{r}) + \boldsymbol{\Gamma}(\boldsymbol{r}) + \boldsymbol{m}_0$$

where $\nabla \times \boldsymbol{\Gamma}(\boldsymbol{r}) = \rho(\boldsymbol{r}) \nabla \gamma(\boldsymbol{r})$ (11)

will have no effect on XC contributions to the SDFT functional, E_{xc}^S , i.e., $E_{xc}^S[\rho, m'] = E_{xc}^S[\rho, m]$. $\alpha(\mathbf{r})$ and $\Gamma(\mathbf{r})$ are arbitrary functions, which are only subject to the relevant aforementioned constraints, and m_0 is a spatially uniform vector.

By comparison, in CDFT, E_{xc}^{C} depends on \boldsymbol{m} via the spin current, $\boldsymbol{j}_{s} = \frac{c}{q} \nabla \times \boldsymbol{m}$ [1,7]. Therefore, E_{xc}^{C} is invariant to transformations of the form, $\boldsymbol{m}(\boldsymbol{r}) \mapsto \boldsymbol{m}'(\boldsymbol{r})$,

$$\boldsymbol{m}'(\boldsymbol{r}) = \boldsymbol{m}(\boldsymbol{r}) + \boldsymbol{\nabla}\boldsymbol{\alpha}(\boldsymbol{r}) + \boldsymbol{m}_0, \qquad (12)$$

for arbitrary $\nabla \alpha(\mathbf{r})$ and \mathbf{m}_0 . Therefore, $\Gamma(\mathbf{r})$ of the form in Eq. (11) provides the additional degree of freedom that introduces ambiguity into the noncollinear magnetic ground state obtained using SDFT compared with CDFT. While our implementation, and that of Sharma *et al.* [1], possesses a degeneracy closer to that of Eq. (12), we note that $\mathbf{B}'_{xc} =$ $\nabla \times \mathbf{A}_{xc}(\mathbf{r}) + \overline{\mathbf{B}}_{xc}$, from Eq. (9). Therefore, the SF XC magnetic field does not depend on the curl of the vector potential alone, but also the integral of the original SDFT magnetic field $\overline{\mathbf{B}}_{xc}$. To address these modified conditions, we propose the following ansatz, $E_{xc}[\rho, \nabla \times \mathbf{m}, \mathbf{M}]$, where a dependency on the net magnetization, $\mathbf{M} = \int_{\Omega} d\mathbf{r} \mathbf{m}(\mathbf{r})$, enters into the XC functional. Under this dependency, the SF XC magnetic field becomes

$$B'_{xc}(\mathbf{r}) = \frac{\delta}{\delta \mathbf{m}(\mathbf{r})} E_{xc}[\rho, \nabla \times \mathbf{m}, \mathbf{M}]$$

= $\int d\mathbf{r}' \frac{\delta E_{xc}}{\delta (\nabla \times \mathbf{m}(\mathbf{r}'))} \frac{\delta (\nabla \times \mathbf{m}(\mathbf{r}'))}{\delta \mathbf{m}(\mathbf{r})} + \frac{\partial E_{xc}}{\partial \mathbf{M}} \frac{\delta \mathbf{M}}{\delta \mathbf{m}(\mathbf{r})}$
= $\nabla \times \mathbf{A}_{xc}(\mathbf{r}) + \frac{\partial E_{xc}}{\partial \mathbf{M}}$
= $\nabla \times \mathbf{A}_{xc}(\mathbf{r}) + \overline{\mathbf{B}}_{xc},$ (13)

where the functional derivatives with respect to $\nabla \times m$ are rigorously explored in Refs. [1] and [7]. Therefore, we have shown that a functional dependence on the net magnetization M results in a nonzero integral of $B'_{xc}(r)$. It is straightforward to show that the converse statement must also be true. This means that $E_{xc}[\rho, \nabla \times m, M]$ becomes gauge invariant only with respect to an arbitrary gradient field, i.e.,

$$\boldsymbol{m}'(\boldsymbol{r}) = \boldsymbol{m}(\boldsymbol{r}) + \boldsymbol{\nabla}\boldsymbol{\alpha}(\boldsymbol{r}). \tag{14}$$

Compared to Eq. (14), the new dependence on M removes the invariance to an arbitrary constant vector m_0 . M is still invariant to gradient fields, by the fact that gradients disappear while integrating over the periodic cell (see Sec. S2C within the SM [4]). We explore the practical ramifications of the dependence on M in Sec. III F. As a side note, the invariance of SDFT implementations to constant shifts to the magnetization by m_0 , Eq. (11), as stated in Refs. [1] and [7], should be carefully reconsidered through the lens of the modified Helmholtz decomposition under PBCs, Eq. (2), which has been put forth in this paper.

Again, we emphasize that the functions α , Γ , γ , and m_0 in the above equations are completely arbitrary, we have introduced these variables to illustrate the gauge invariance of noncollinear SDFT [7]. The arbitrary nature of $\Gamma(\mathbf{r})$ provides an explanation for the highly degenerate energy landscape in noncollinear SDFT compared to the source-free functional. The additional gauge invariance explains why the site-projected magnetic moments—related to \mathbf{m} —rotate very little during convergence, compared with the source-free functional, which is in fact a current density functional, to reiterate [1,7]. This is the core computational exploration of the present study.

B. Consideration of additional currents arising in CDFT

We have explored how the SF constraint provides a theoretical and computational link between the SDFT and SCDFT family of functionals. Thus far, we have only considered the spin-current density j_s . However, there are other current densities that can arise, which we explore in the following section.

Within the framework of current density functional theory (CDFT), we can introduce the probability (denoted with subscript p) current density [7,11]. The classical field of the probability current, (also known as the "paramagnetic current") can be expressed as the expectation of the quantum mechanical operator

$$\boldsymbol{j}_{p}(\boldsymbol{r}) = \langle \hat{\boldsymbol{j}}_{p}(\boldsymbol{r}) \rangle$$

$$= \frac{\hbar}{2mi} \langle \hat{\Psi}(\boldsymbol{r})^{\dagger} \nabla \hat{\Psi}(\boldsymbol{r}) - \nabla \hat{\Psi}(\boldsymbol{r})^{\dagger} \hat{\Psi}(\boldsymbol{r}) \rangle$$

$$= \frac{\hbar}{2m} \langle \Im(\hat{\Psi}(\boldsymbol{r})^{\dagger} \nabla \hat{\Psi}(\boldsymbol{r})) \rangle.$$
(15)

The probability current can be motivated by expressing the Schrödinger equation as a conservation law $\partial \rho / \partial t = -\nabla \cdot j_p$ [12]. Therefore, j_p is directly related to the probability flux of an electron in real space. It is also known as the "paramagnetic current," because j_p couples in a Zeeman-like manner to the external vector potential in a similar fashion to Eq. (20). Therefore, the magnetization induced by j_p will preferentially align with the external magnetic field.

Capelle and Gross explore the form of j_p within Kohn-Sham (KS) SDFT [7],

$$\boldsymbol{j}_{p}^{KS} = \frac{i\hbar}{2m} \sum_{k=1}^{N} (\phi_{k} \nabla \phi_{k}^{*} - \phi_{k}^{*} \nabla \phi_{k}), \qquad (16)$$

where ϕ_k are Kohn-Sham orbitals, and the sum is over the *N* lowest-energy bands. \hbar is the familiar reduced Planck constant, and *m* is the electron mass. Any complex function, and therefore ϕ_k , can be expressed as $\phi_k(\mathbf{r}) = |\phi_k(\mathbf{r})|e^{iS'_k(\mathbf{r})}$. Letting $S_k(\mathbf{r}) = \hbar S'_k(\mathbf{r})$, it is possible to show that [12]

$$\boldsymbol{j}_{p}^{KS}(\boldsymbol{r}) = \sum_{k}^{N} \frac{1}{m} |\phi_{k}(\boldsymbol{r})|^{2} \nabla S_{k}(\boldsymbol{r}). \tag{17}$$

Next, we consider the case in which all ∇S_k are equal to the same function, ∇S . In this case, the following simplification can be made

$$\widetilde{\boldsymbol{j}}_{p}^{KS}(\boldsymbol{r}) = \frac{1}{m} \rho(\boldsymbol{r}) \nabla S(\boldsymbol{r}).$$
(18)

We have drawn on the fact that $\rho(\mathbf{r}) = \sum_{k}^{N} |\phi_{k}(\mathbf{r})|^{2}$, and are reminded that ∇S is linked to classical momentum [12]. Therefore, $\mathbf{j}_{p}^{KS}(\mathbf{r}) = \tilde{\mathbf{j}}_{p}^{KS}(\mathbf{r})$ only in the case when these ∇S_{k} momenta are equal across all ground-state KS orbitals, $\phi_{k}(\mathbf{r})$.

In their original seminal study, Vignale and Rasolt (VR) consider the physical constraint that the current density functional should be invariant to gauge transformations of the external magnetic vector potential $A' \mapsto A + \nabla \Lambda$, where $\Lambda(\mathbf{r})$ is an arbitrary function [13]. Under this gauge invariance constraint, VR demonstrate that E_{xc} depends on \mathbf{j}_p through the probability/paramagnetic current vorticity alone, which is defined as such [13]

$$\boldsymbol{v}_p(\boldsymbol{r}) = \boldsymbol{\nabla} \times \left[\frac{\boldsymbol{j}_p(\boldsymbol{r})}{\rho(\boldsymbol{r})} \right]. \tag{19}$$

This result is a foundational pillar of CDFT, and provides a basis for useful results in other key papers, such as Ref. [7].

Interestingly, in the hypothetical case in which $j_p^{KS}(\mathbf{r}) = \tilde{j}_p^{KS}(\mathbf{r})$ [Eq. (18)] we see that $\mathbf{v}_p(\mathbf{r}) = \mathbf{0}$ everywhere, and therefore we may neglect any j_p contributions to E_{xc} entirely. However, this is not the case for differing ∇S_k . We presume that, among other effects, the inhomogeneity across ground state ∇S_k is accentuated by spin-orbit coupling (SOC) [14], which will introduce an angular-momentum dependence on ϕ_k . This hypothesis can most likely be further explored by the machinery proposed in Ref. [15], which provides a framework for rigorously incorporating SOC within SCDFT. However, on a more elementary level, even a noninteracting homogeneous electron gas will obey Fermi statistics. Therefore, the electron gas will possess a distribution of momenta, which can be directly related to single-electron ∇S_k [12,16].

Under no external magnetic field, i.e., a curl-free A, j_p enters into the exchange-correlation component of the CDFT functional in the following form [7,11,13],

$$E_p \equiv -\int_{\Omega} \boldsymbol{j}_p(\boldsymbol{r}) \cdot \boldsymbol{A}_{xc}(\boldsymbol{r}) \, d\boldsymbol{r}. \tag{20}$$

In VR's extension of SCDFT to noncollinear magnetism, a set of spin-projected currents, $j_{p,\lambda}$ (see Sec. S2B within the

SM [4]) and their associated $A_{xc,\lambda}$ are introduced as additional quantities. As we will see later, $j_{p,\lambda}$ play a crucial role in the extension of the zero-torque theorem, Eq. (27). Furthermore, in Ref. [15], Bencheikh demonstrates that $A_{xc,\lambda}$ become essential in the inclusion of SOC effects in SCDFT. However, because SOC is treated differently in VASP [14], we will not explore this further here.

The probability current j_p has been introduced as the gauge-invariant kinetic energy density in the metaGGA extension of SCDFT [5,17]. Following from Ref. [17],

$$\tilde{\tau}_{\sigma} = \tau_{\sigma} - m \frac{|\boldsymbol{j}_{p,\sigma}|^2}{\rho_{\sigma}},\tag{21}$$

where σ denotes the different spin channels, $\sigma \in \{\uparrow, \downarrow\}$. Starting from the definitions of VR [8], it is straightforward to show that the squared magnitude of the spin probability currents $|\mathbf{j}_{p,\sigma}|^2$ in Eq. (21) can be related to $|\mathbf{j}_{p,\lambda}|^2$, which we explore in Sec. S2B within the SM [4]. Therefore, we hope it to be the subject of future studies to explore the extensions of this study to metaGGA functionals.

Furthermore, in Appendix E, we abstract from the definition in Eq. (15), and consider a separation of $\mathbf{j}_{p,\lambda}$ into divergence and curl-free contributions. We provide these small derivations to the reader in the hope that may be useful for the reformulation of SDFT metaGGA functionals to their SCDFT counterparts. We conclude this section by emphasizing that the SF constraint opens the door to SCDFT more broadly. Therefore, the importance of the probability current density \mathbf{j}_p and its treatment, especially in the context of extensions to metaGGA functionals, will be a topic for future explorations.

C. Zero torque theorem and τ_{xc}

Within full magnetostatic spin-current DFT (SCDFT), the additional spin currents arise subject to the following continuity equation:

$$\nabla \cdot [\boldsymbol{j}_{n,\lambda} + m_{\lambda} \boldsymbol{A}_{xc}] = (\boldsymbol{m} \times \boldsymbol{B}_{xc})_{\lambda}, \qquad (22)$$

where $\lambda = 1, 2, 3$ and corresponds to the three components of the noncollinear magnetic fields. Some SCDFT formulations include additional $A_{xc,\lambda}$ fields as well [8]. Equation (22) is an extension of the zero-torque theorem (ZTT), in which local torques may arise without violating conservation laws.

The magnetic torque owing to the XC component of the functional can be expressed as $\tau_{xc} = m \times B_{xc}$ [18]. We call on the definition of B_{xc} in Eq. (1), as defined in conventional SDFT, to show that $\tau_{xc} = m \times B_{xc} = 0$. This is simply because of the fact that $B_{xc} \parallel m$ everywhere in Ω (the periodic domain) at every self-consistency step. The zero-magnetic torque theorem [18],

$$\int_{\Omega} \boldsymbol{\tau}_{xc} \, d\boldsymbol{r} = \int_{\Omega} \boldsymbol{m} \times \boldsymbol{B}_{xc} \, d\boldsymbol{r} = \boldsymbol{0} \tag{23}$$

states that "a system cannot exert a net torque on itself." Since $\tau_{xc} = \mathbf{m} \times \mathbf{B}_{xc} = \mathbf{0}$, then Eq. (23) is trivially satisfied at every step of the DFT minimization algorithm.

By comparison, within the source-free implementation, local torques may arise [1], in which case, from Eq. (9) we see that

$$\begin{aligned} \boldsymbol{\tau}_{xc}' &= \boldsymbol{m} \times \boldsymbol{B}_{xc}' \\ &= \boldsymbol{m} \times \boldsymbol{B}_{xc} + \boldsymbol{m} \times \boldsymbol{H}_{xc} \\ &= \boldsymbol{m} \times \nabla \phi \\ &= \phi(\nabla \times \boldsymbol{m}) - \nabla \times (\phi \boldsymbol{m}). \end{aligned}$$
(24)

Under periodic boundary conditions on Ω , we may show that

$$\int_{\Omega} \boldsymbol{\tau}_{xc}' \, d\boldsymbol{r} = \int_{\Omega} \boldsymbol{\tau}_{xc} \, d\boldsymbol{r} + \int_{\Omega} \boldsymbol{m} \times \boldsymbol{\nabla} \phi \, d\boldsymbol{r} = \int_{\Omega} \phi(\boldsymbol{\nabla} \times \boldsymbol{m}) \, d\boldsymbol{r}.$$
(25)

Again, we have used the property that the surface integral vanishes under periodic boundary conditions, $\int_{\Omega} d\mathbf{r} \nabla \times (\phi \mathbf{m}) = \mathbf{0}$, via Eq. (S13) in Sec. S2C within the SM [4]. It is not apparent why Eq. (25) should be zero, and therefore why ZTT should be obeyed for the source-free functional. From Eq. (25), it is clear that the net XC torque possesses the same gauge invariance of E_{xc}^{SF} [Eq. (14)], and therefore it cannot be eliminated via the addition of a gradient field to \mathbf{m} . In Sec. III E, we explore the adherence to the ZTT computationally, and possible ways to maintain the zero-torque condition in Appendix B.

Several previous studies have sought to address adherence to the ZTT with various proposed XC noncollinear functionals. For example, in Ref. [19], the authors rigorously explore the dependence of B_{xc} on m that would result in satisfying the ZTT for all m. Additionally, Ref. [18] proposed a way to maintain the zero global torque constraint in Sec. III of their Supplemental Material [4]. While their approach is promising, the method that we propose in Appendix B leverages the convenient property that volume integrals of derivatives disappear under PBCs. Therefore, in comparison to Ref. [18], we are able to ensure that

(1) The resultant \mathbf{B}'_{xc} is indeed source free, i.e., $\nabla \cdot \mathbf{B}'_{xc} = 0$, by solving for the auxiliary vector potential \mathbf{A}' rather than the magnetic field itself.

(2) Our least-squares solution only requires solving three linear equations, whereas Ref. [18] requires solving 3P - 3 linear equations, where P is the size of the real-space grid [18].

(3) We treat all points on the real-space grid on the same footing. In other words, we do not solve for the field to maintain ZTT on "boundary" grid points separately from the bulk, as proposed in Ref. [18]. In our setting of PBCs, there is no notion of "edge" versus "bulk" to begin with.

We emphasize again that our proposal to maintain the ZTT in Appendix B is not currently implemented in our VASP code patch. We hope that this will be the subject of subsequent studies.

All this being said, ZTT may not be a strict constraint on the spin-current density functional. We see this by starting from Eq. (33) of Ref. [15], which is also Eq. (6.10b) of Ref. [8],

$$\nabla \cdot \boldsymbol{j}_{p,\lambda} + \frac{2}{\hbar} \nabla \cdot (m_{\lambda} \boldsymbol{A}_{xc}) + \frac{q}{mc} \nabla \cdot (\rho \boldsymbol{A}_{xc,\lambda})$$
$$= -\frac{2}{\hbar} [\boldsymbol{m} \times \boldsymbol{B}_{xc}]_{\lambda} + \frac{2q}{\hbar c} \sum_{\mu,\nu} \epsilon_{\lambda\mu\nu} \boldsymbol{j}_{p,\nu} \cdot \boldsymbol{A}_{xc,\mu}, \qquad (26)$$

where $j_{p,\lambda}$ are defined in Sec. S2B within the SM [4], and $\frac{q}{c}A_{xc,\lambda} = \delta E_{xc}/\delta j_{p,\lambda}$. Additionally, $\epsilon_{\lambda\mu\nu}$ is the Levi-Civita symbol. In the spirit of Ref. [19], we see that by taking the integral of both sides, and imposing periodic boundary conditions on the region of integration Ω , we arrive at

$$\int_{\Omega} d\boldsymbol{r} \left[\boldsymbol{m} \times \boldsymbol{B}_{xc}\right]_{\lambda} = \frac{q}{c} \int_{\Omega} d\boldsymbol{r} \sum_{\mu,\nu} \epsilon_{\lambda\mu\nu} \, \boldsymbol{j}_{p,\nu} \cdot \boldsymbol{A}_{xc,\mu}.$$
 (27)

There is no reason for the right hand side of this equation to be zero. Therefore, the above continuity equation provides an extension of the "zero-torque theorem." In other words, in order to satisfy the steady state conservation law, it is no longer necessary for the net torque to be zero, i.e., $\int_{\Omega} d\mathbf{r} \, \mathbf{m} \times \mathbf{B}_{xc} = \mathbf{0}$ need not be obeyed.

Therefore, we have touched on an important consideration for the SF implementation put forth by Ref. [1], and pursued in this study. As we will show, while this method improves convergence to the correct noncollinear magnetic ground state, the ZTT is not guaranteed to be upheld. However, we demonstrate in Sec. III E that the net torque is still near to the order of energy convergence at the point of DFT self-consistency. Furthermore, to lay the groundwork for future work, we propose a fix to guarantee that the ZTT is upheld in Appendix B.

D. Orbital magnetic moments

Having explored the connections to CDFT, we will now turn our attention to the orbital magnetic moments, which are a measurable link to the probability (or orbital) current density j_p . The orbital character of the magnetic moment can be teased out using x-ray magnetic circular dichroism (XMCD) [20,21], and sometimes in combination with other x-ray spectroscopy techniques, such as resonant inelastic xray scattering (RIXS) [21].

It is possible to express the orbital magnetic moment in terms of the orbital current [22], or probability current in this context,

$$\boldsymbol{m}_{i}^{\text{orb}} = \int_{\Omega_{i}} \boldsymbol{r}_{i} \times \boldsymbol{j}_{p}(\boldsymbol{r}_{i}) \, d\boldsymbol{r}_{i}, \qquad (28)$$

where Ω_i is a region surrounding the magnetic site *i*, such as a PAW sphere, and r_i is the position vector relative to the center of the sphere. The orbital magnetic moment is directly associated with the expectation value of the orbital angular momentum at an atomic site. Consequently, the magnitude and direction of the orbital moment relative to the spin moment can be influenced by factors such as Hund's rules, crystal field splitting (CFS), and spin-orbit coupling (SOC) [16]. SOC primarily determines the orientation of the orbital moment, while CFS can significantly reduce or quench the orbital moment, a phenomenon particularly notable in 3d transition metal species [16]. In contrast, CFS has a lesser impact on the orbital moments in uranium and other f-block elements, as the partially filled f states are more effectively shielded from crystal fields by outermost electrons [16]. We will explore the effect of the source-free constraint on the ground-state orbital moments in the UO_2 test case explored in Sec. III D.

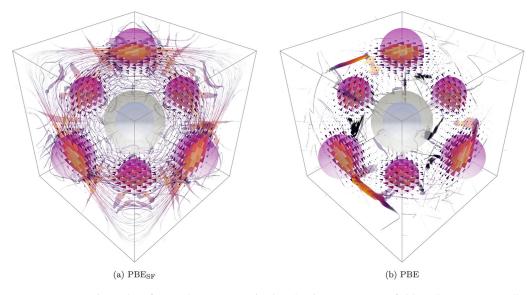


FIG. 1. PBE_{SF} vs PBE comparison plot of ground-state magnetization density m(r) (vector field) and $B_{xc}(r)$ (streamlines) viewed along [111] direction for Mn₃ZnN.

II. METHODS

A. Hubbard *U*, Hund *J*, and neglect of the exchange splitting parameter

In our implementation of the source-free correction [23], we leave out the exchange-splitting scaling parameter *s* included in the study of Sharma and coworkers. In other words, s = 1 in our implementation. There are two primary reasons that we neglected this scaling parameters. The first reason for not including this parameter is that while *s* is not material specific in theory, it requires fitting to the magnetic structure of a finite set of material systems. In addition to this ambiguity, it has been shown that Hubbard *U* and Hund *J* values have a significant effect on the magnetic structure [2]. For this reason, we utilize precomputed, and/or custom-computed, *U* and *J* values for the magnetic systems that we explore in this study.

That is not to say that the inclusion of s is unjustified—its inclusion maintains the variational nature of the XC functional with respect to the magnetization field m [1]. However, we choose to not include this feature, owing to its ambiguous nature, and the fact that it has nothing to do with the source-free constraint itself [24]. In our implementation and test cases, we did not find a need for $s \neq 1$.

B. Source-free implementation

In Appendix A, we provide the details of the source-free B_{xc} implementation in VASP [25], which, generally speaking, should be consistent with both Refs. [1] and [26]. As stated in Eq. (A7), we do not modify the q = 0 component of B'_{xc} . In other words, we set $\hat{B}'_{xc}(q = 0) = \hat{B}_{xc}(q = 0)$, which still satisfies the divergence-free constraint. Our choice appears to be consistent with the implementation of Ref. [1] in the Elk source code [27,28].

Our justification for leaving $\overline{B'}_{xc} = \overline{B}_{xc}$ is the arbitrary choice of $\widehat{B'}_{xc}(q)$, which is apparent from the singularity with respect to $|q|^2$ in Eq. (A7). However, one can entertain possi-

ble physically motivated constraints on $\overline{B'}_{xc}$. For example, $\overline{B'}_{xc}$ will enter into the net torque expression, Eq. (23). Therefore, one may consider a least-squares constraint on $\overline{B'}_{xc}$, based on the ZTT, as well as a possible term that preserves the net XC energy expression from SDFT. An in-depth and rigorous treatment of $\overline{B'}_{xc}$, the real-space integral of B'_{xc} , will be left to future studies.

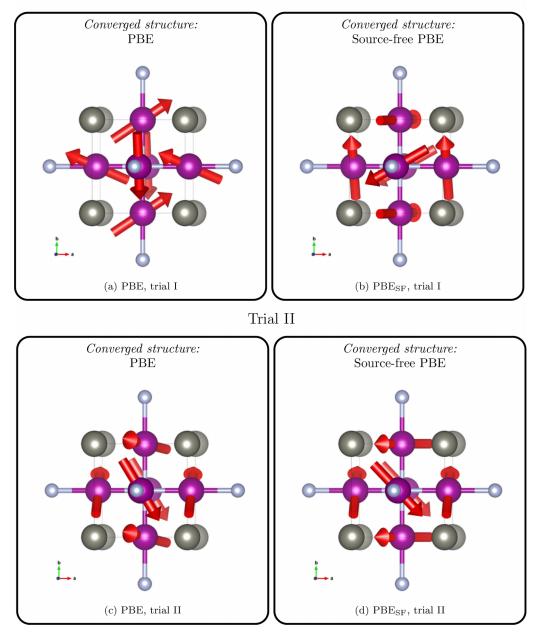
III. RESULTS

These magnetic structures were obtained from the Bilbao MAGNDATA database [29]. All structures in this study contained less than sixteen atoms in their unit cell. The materials contained in this data set include metallic systems, i.e., Mn₃Pt [30] and MnPtGa [31], as well as insulators, i.e., MnF₂ [32]. We take an in-depth focus of Mn₃ZnN [33], because its noncollinear ground state is accommodated by a relatively small unit cell comprised of only three symmetrically distinct magnetic Mn ions.

Furthermore, for Mn_3ZnN (Figs. 1–4) it is clear that the curl of the magnetization $\nabla \times m$ varies by a much larger relative magnitude than that of Mn_3Pt (Fig. 5) and MnPtGa (Fig. 6). This can be ascertained by the circulation of spins around the [1 1 1] direction in Mn_3ZnN , which can be seen in Figs. 1 and 2 [33]. We care about a large variance in the spin current for multiple reasons. The first reason has to do with the gauge symmetries of SDFT and CDFT, as explored in Ref. [7]. After all, our goal in this study is to computationally explore these degeneracies. The second reason is that the curl of the magnetization enters into the expression for the net XC torque, as stated in Eq. (25). Hence, we surmise that the magnetic structure of Mn_3ZnN will test the limits of the ZTT, and to what degree it is, or is not upheld at the point of self-consistency.

A. Quantifying the effects of monopoles

We found that for the Mn₃ZnN calculations, the PBE+U+J calculation yielded $|\nabla \cdot \boldsymbol{B}_{xc}(\boldsymbol{r})|_{\infty} \approx$



Trial I

FIG. 2. Computed ground-state magnetic configurations for two input structures (trials I and II) randomly perturbed from the experimentally measured magnetic structure for Mn_3ZnN ; Comparisons are provided for the computed structures for PBE_{SF} vs PBE.

104 eV/(μ_B Å⁴), and for the source-free PBE+U+J counterpart, $|\nabla \cdot \boldsymbol{B}_{xc}(\boldsymbol{r})|_{\infty} < 10^{-13}$ eV/(μ_B Å⁴), where $|\nabla \cdot \boldsymbol{B}_{xc}(\boldsymbol{r})|_{\infty} = \max_{\boldsymbol{r} \in \mathbb{R}^3} |\nabla \cdot \boldsymbol{B}_{xc}(\boldsymbol{r})|$. This numerical comparison confirms that the source-free constraint is working as expected, and draws attention to the large density of magnetic monopoles that form in conventional noncollinear PBE+U+J. A visualization of the monopole density in the Mn₃ZnN test case is provided in Fig. 3. We hone in on this material for reasons of computational cost and clear visualization. Namely, Mn₃ZnN exhibits a highly noncollinear spin texture, describable with a commensurate unit cell of only three magnetic atoms. Furthermore, this magnetic antiperovskite is known to exhibit exceptionally

large and exotic magnetostriction effects [34], which is relevant to magnetostructural phase transitions and therefore magnetocalorics [35].

B. Local convergence test

In order to compare the convergence of GGA-PBE_{SF} to conventional noncollinear GGA-PBE, we performed tests on the set of commensurate magnetic structures containing transition metal elements. To test the convergence of all structures, we apply a random perturbation from the experimental structure to all magnetic moments. The rotations of local moments are performed by an implementation of the Rodrigues' rotation formula within a cone angle of 45° .

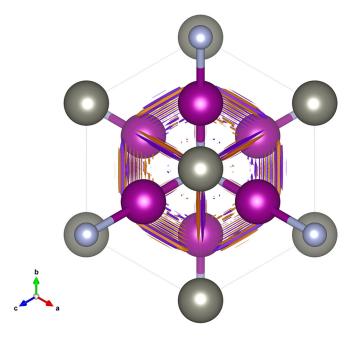


FIG. 3. Isosurface VESTA plot of PBE ground state $\nabla \cdot B_{xc}(\mathbf{r})$ viewed along [111] direction for Mn₃ZnN. Positive isosurface is indicated in violet, and negative counterpart in orange, at a fixed isosurface level. Manganese, zinc, and nitrogen atoms are color-coded in magenta, gray, and light blue, respectively.

To compare the performance of the source-free functional versus its SDFT counterpart, we illustrate the contrast between the converged magnetic structures for GGA- $PBE_{SF}+U+J$ versus GGA-PBE+U+J for the aforementioned manganese-containing material test cases: Mn₃ZnN, Mn₃Pt, MnPtGa, MnF₂, Mn₃As, and YMnO₃ in Figs. 2 and 5-9, respectively. Additionally, we provide a magnetic moment comparison (in Fig. 10) as well as a symmetry comparison (in Fig. 11) for a wider set of over twenty material test cases. For the magnetic moment comparison, we plot the mean difference across magnetic moments computed using GGA- $PBE_{SF}+U+J$ versus GGA-PBE+U+J. We observe that for all of these magnetic systems, the source-free functional predicts a moment that is slightly smaller than its source-free counterpart, bringing it in closer agreement with experiment, for most systems.

In order to probe the comparison between noncollinear ground states themselves, we show a symmetry metric comparison between converged structures from GGA- $PBE_{SF}+U+J$ and GGA-PBE+U+J in Fig. 11. For this study, we used the findsym program from the ISOTROPY software suite developed by Stokes et al. [36,37]. We define this symmetry metric to be the minimum tolerance (in $\mu_{\rm B}$), normalized by the absolute maximum magnitude of the individual magnetic moments within the structures, and scaled as a percentage value. Therefore, 0% implies perfect agreement with experimentally resolved magnetic space group (MSG), whereas 100% implies poor agreement. Numerically, the tolerance is set according to the expression $\Delta = \Delta_0 \alpha^{-\delta(N-n)}$, where *n* is incremented as n = 0, ..., N, until the MSG number from findsym agrees with the symmetry from neutron diffraction measurements [29]. The symmetry tolerance at

which the MSG numbers agree Δ^* is designated as the minimum symmetry tolerance. We use the exponential expression in order to sample lower tolerances at higher precision. For the results in Fig. 11, we chose the following parameters, $\Delta_0 = 10 \ \mu_B$, N = 16, $\delta N = 2$, and $\alpha = 10$.

We emphasize that for this study, we use mean U and J values taken from Ref. [38]. In principle, one should calculate these U and J values for each structure, as U and J are very sensitive to local chemical environment, and therefore oxidation and spin states [38]. However, to reduce immediate computational cost, we save this exploration for future studies.

Figures 2 through 9 show improved agreement with experimentally measured magnetic ground states using $PBE_{SF} + U+J$ compared to PBE+U+J, as well as better consistency of the output computed spin configuration. Improved performance of the SF functional is achieved in Figs. 7 and 8, but with a higher sensitivity to geometry relaxation. In the case of MnF₂ and Mn₃As, we performed structural relaxations, with the spins initialized in the symmetric and/or experimental configuration. We explored the effect of structural optimization in these two materials, because without allowing for spin-lattice relaxation, a ground state with a strong ferromagnetic component was stabilized, as was the case for MnF₂, which is shown in Fig. 7. Most crystal structures in this study were visualized using the VESTA software [39].

C. Convergence case study: YMnO₃

To examine whether a tighter energy convergence threshold improves the converged structure for GGA, we imposed a 10^{-8} eV energy cut off to YMnO₃, comparing the convergence behavior between GGA and it source-free counterpart. The convergence behavior of GGA compared to GGA_{SF} is shown in Fig. 12. In this plot, the absolute relative energy between subsequent self-consistency steps is plotted on a logarithmic scale. For the source-free functional, it is interesting to note that there appears to be a slight energy barrier that the algorithm climbs, only to descend to the symmetric experimentally reported ground state [10], just before 200 self-consistency steps.

To the reader, this may seem to be a long convergence time; however, we direct the attention to the conventional GGA counterpart. While convergence to 1 μ eV is achieved rather rapidly, we see that the magnetic spins move very little in the convergence process. Furthermore, with the tighter energy cut off, the DFT calculation does not converge within the 600 electronic self-consistency step limit. At one point, the energy does dip below the tolerance energy threshold, but this is not simultaneously true for the band-structure convergence metric, which stays above the 0.01 μ eV energy cut off.

It is worth noting that to improve convergence for this particular calculation, we used a "sigma" value smearing of 0.2 near to the Fermi level. This is standard practice, and a different smearing can be used by continuing the DFT calculation with a smaller "sigma" value, and a different smearing method. Additionally, we used Gaussian smearing, which is known to be more robust across different material chemistries, such as between insulators and conductors, according to VASP documentation.

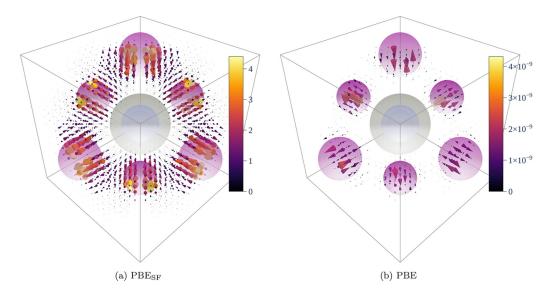


FIG. 4. PBE_{SF} vs PBE comparison plot of ground-state magnetic torque vector field $\tau_{xc} = \boldsymbol{m}(\boldsymbol{r}) \times \boldsymbol{B}_{xc}(\boldsymbol{r})$ (vector field) viewed along [111] direction for Mn₃ZnN. The units are in $(\mu_{\rm B} \text{ eV})/(\mu_{\rm B} \text{ Å}^3)$.

D. Augmented orbital moments in UO₂

Thus far, we have focused on the spin component of the magnetic moment. However, in reality, there is an orbital component to the magnetic moment that supplements the spin contribution [40,41], especially in materials with strong spinorbit coupling (SOC). In many 3d transition metal oxides, it can be theoretically and experimentally shown that the orbital moment is "quenched" [16,42], in which case it is fair to neglect the orbital contributions. However, in *f*-block species, SOC can become much more prevalent. Additionally, we have explored the connections between the orbital moment and the paramagnetic current density, j_p in Sec. I.D. Therefore, it would be sensible for us to explore how the source-free functional affects the orbital magnetic moments.

UO₂ has become the archetype of correlated oxides with strong spin-orbit coupling, which gives rise to the strongly noncollinear ground state of UO₂ [43]. Therefore, we apply the source-free functional towards UO₂, which we found to exhibit exceptionally large orbital magnetic moments. Specifically, we report the computed spin and orbital magnetic moments in Table I using GGA+U+J and GGA_{SF}+U+J. Because of the connection between orbital moments and j_p in Eq. (28), we plot $j_p(r)$ in Fig. 13. We see that in this visualization, there is strong circulation of j_p around the uranium atoms, shown in gray. It is interesting to note that we achieve this improved agreement with experiment, even without the direct coupling to A_{xc} in the form of Eq. (20).

E. Local and global torques arising from the source-free constraint

In Fig. 4(a) we observe that it is indeed not the case that $\tau'_{xc} = \mathbf{0} \forall \mathbf{r} \in \Omega$. However, for the systems we tested, we found the net (integrated) torque, as stated in Eq. (25), to be orders of magnitude smaller than the largest local torque, i.e., $\max_{r\in\Omega} \{|\tau'_{xc}(r)|\}$. We found that for our Mn₃ZnN test case, the self-consistent τ_{xc} obeyed the following inequality $\int_{\Omega} \tau_{xc} d\mathbf{r} < 5 \times 10^{-5}$ eV, even though $\max_{\Omega} \{\tau_{xc}\} \approx 5$ eV.

The energy convergence tolerance for this calculation was 1×10^{-6} eV. Therefore, the net torque could simply be an artifact of numerical convergence.

Despite the "small" net torque relative to the energy convergence tolerance, it is nontrivial to determine whether the right-hand side of Eq. (25) will be "small enough" in general. Additionally, further investigation should examine the effects of enforcing the ZTT at every self-consistency step. In Appendix B, we propose possible approaches to ensure that the ZTT is upheld at every self-consistency step. The method in Appendix B should be the most general and robust, with added computational cost. We have not implemented these ZTT corrections at this time. However, we imagine that a careful adherence to the ZTT will be important for the calculation of magnetocrystalline anisotropy energy (MAE), which is on the order of μ eV, and therefore this additional physical constraint should be considered.

F. Importance of the constant, q = 0, component of B'_{xc}

In Fig. 14, it is clear that when applying the $B'_{xc} = 0$ constraint for MnF₂, the SF functional converges to the correct collinear AFM ground state [32]. For $\overline{B'}_{xc} = \overline{B}_{xc}$, the canted FM configuration is erroneously stabilized, which is remedied by structural relaxations, as shown in Fig. 7. On the other hand, for MnPtGa, if one applies the additional $\overline{B'}_{xc} = 0$ constraint, we see that the ground state is a structure with much stronger AFM character. This differs significantly from the canted ferromagnetic configuration obtained using $\overline{B'}_{xc} = \overline{B}_{xc}$. This canted FM spin configuration is much closer to the magnetic ordering resolved using neutron diffraction [31].

To generalize this behavior, $\overline{B'}_{xc} = \overline{B}_{xc}$ erroneously stabilizes ferromagnetism in antiferromagnetics, and $\overline{B'}_{xc} = \mathbf{0}$ stabilizes antiferromagnetism in ferromagnets, which is equally problematic. Because of the obvious importance of carefully considering $\overline{B'}_{xc}$, we plan to address this in the

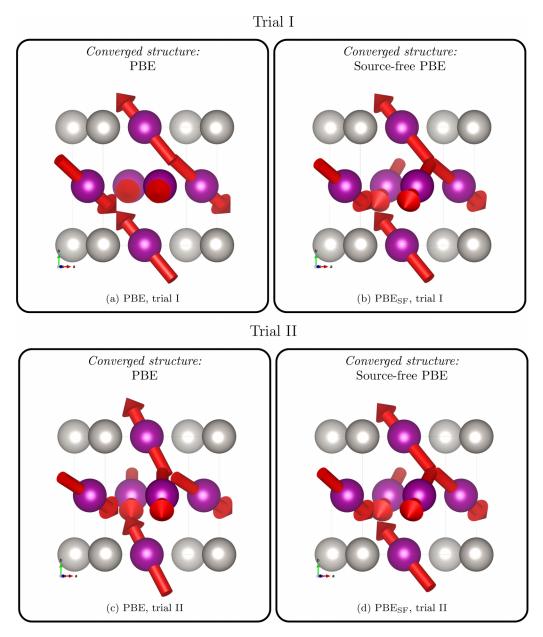


FIG. 5. Computed ground-state magnetic configurations for two input structures (trials I and II) randomly perturbed from the experimentally measured magnetic structure for Mn_3Pt ; Comparisons are provided for the computed structures for PBE_{SF} vs PBE.

future. For all other test cases in this study, we simply set $\overline{B'}_{xc} = \overline{B}_{xc}$, in order to maintain consistency with Refs. [1] and [26]. As we mention in the Introduction, it would be possible to solve for $\overline{B'}_{xc}$ that mitigates the net XC torque, while preserving the XC spin-splitting energy from SDFT, in accordance with Eq. (1). We plan to explore this rigorously in a follow-up study.

IV. CONCLUSIONS

Significantly improved convergence to the noncollinear magnetic structure has been achieved with the application of the source-free constraint to B_{xc} [1] to the PAW DFT formulation implemented in VASP. With the use of paral-

lel three-dimensional FFTs as the basis for the fast Poisson solver, it is possible to apply this constraint with little additional computational cost, and no reduction of the parallel scalability of the DFT code. While we have focused on GGA- $PBE_{SF}+U+J$ in this study, this constraint is generalizable to other SDFT functionals in noncollinear implementations, such as meta-GGA.

Subsequent studies will combine the improved local convergence of DFT_{SF} with global optimization algorithms in order to achieve a unified and robust determination of noncollinear ground states without any prior experimental knowledge.

We hope that the augmented magnetoelectric coupling predicted using the source-free functional lays the groundwork

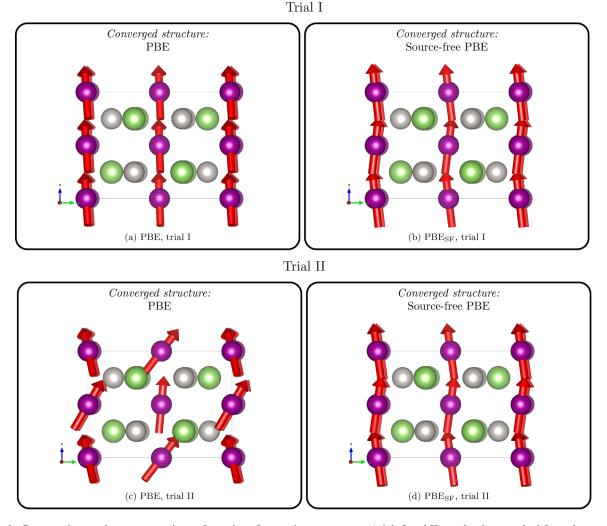


FIG. 6. Computed ground-state magnetic configurations for two input structures (trials I and II) randomly perturbed from the experimentally measured magnetic structure for MnPtGa; Comparisons are provided for the computed structures for PBE_{SF} vs PBE.

for future studies to perform an in-depth investigation of the magnetoelectric figures of merit calculated using this modified functional, especially considering that the Berry phase provides a convenient and rigorous theoretical link between the modern theory of polarization and the modern theory of magnetization [40,41], which can be explicitly expressed in terms of the spin current [44]. Additionally, the unified theory provides a robust and solid theoretical description of the orbital magnetic moment [40], which extends the semiclassical theory touched on in Sec. ID. Future studies are encouraged to further examine the role of spin and orbital currents as they pertain to magnetoelectric coupling.

ACKNOWLEDGMENTS

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G.C.M.: Conceptualization, methodology, software, validation, formal analysis, investigation, data curation, writing original draft, review and editing, and visualization. M.K.H.: Conceptualization, writing, review, and editing, visualization, supervision, and project administration. A.D.K.: Methodology, validation, formal analysis, writing, review, editing. O.A.A.: Investigation, software, writing, review, and editing. S.M.G.: Formal analysis, writing, review, and editing. K.A.P. : Conceptualization, writing, review, editing, supervision, project administration, and resources.

TABLE I. Tabulated magnetic moment components of the four uranium atoms in the magnetic unit cell of UO₂. The spin and orbital contributions to the total computed moments by VASP are included below, computed using both $PBE_{SF}+U+J$ and PBE+U+J. The on-site corrections of U, J = 3.46, 0.3 eV were applied to U-*f* states to maintain consistency with Ref. [47]. Additionally, we compare to U, J = 4.5, 1.0 eV, which is the largest U value reported in Ref. [47], and a "rounded-up" J value of 1.0 eV to examine the effect of a larger Hund J parameter on the spin and orbital uranium magnetic moments.

		Spin				Orbital				Total			
Functional	Atom	m_x	m_y	m_z	<i>m</i>	m_x	m_y	m_z	<i>m</i>	m_x	m_y	m_z	<i>m</i>
PBE+U+J	Ι	-0.577	-0.583	-0.581	1.01	1.764	1.783	1.778	3.07	1.19	1.20	1.20	2.07
U, J = 3.46, 0.30 eV	II	-0.584	0.576	0.581	1.01	1.787	-1.763	-1.776	3.08	1.20	-1.19	-1.20	2.07
	III	0.578	-0.568	0.594	1.00	-1.769	1.739	-1.817	3.07	-1.19	1.17	-1.22	2.07
	IV	0.599	0.571	-0.57	1.00	-1.831	-1.747	1.744	3.07	-1.23	-1.18	1.17	2.07
PBE+U+J	Ι	-0.19	-0.19	-0.20	0.34	1.41	1.42	1.43	2.46	1.22	1.23	1.23	2.12
U, J = 4.5, 1.0 eV	II	-0.20	0.20	0.19	0.34	1.43	-1.43	-1.40	2.46	1.24	-1.23	-1.21	2.12
	III	0.19	-0.19	0.20	0.34	-1.40	1.39	-1.47	2.46	-1.21	1.20	-1.27	2.12
	IV	0.21	0.19	-0.18	0.34	-1.51	-1.40	1.35	2.46	-1.30	-1.21	1.16	2.12
$PBE_{SF}+U+J$	Ι	0.21	0.17	0.24	0.36	0.73	0.73	0.81	1.31	0.93	0.90	1.04	1.66
U, J = 3.46, 0.30 eV	II	0.21	-0.23	-0.19	0.36	0.73	0.73	-0.67	1.23	0.94	0.50	-0.86	1.37
	III	-0.18	0.19	-0.24	0.36	-0.66	-0.66	-0.83	1.25	-0.84	-0.47	-1.07	1.44
	IV	-0.21	-0.21	0.21	0.36	-0.73	-0.73	0.74	1.26	-0.93	-0.93	0.94	1.62
$PBE_{SF}+U+J$	Ι	0.08	0.08	0.09	0.14	0.95	0.91	1.04	1.68	1.03	0.98	1.13	1.82
U, J = 4.5, 1.0 eV	II	0.08	-0.08	-0.08	0.14	0.99	-0.99	-0.92	1.68	1.07	-1.07	-1.00	1.82
	III	-0.08	0.08	-0.09	0.14	-0.96	0.91	-1.03	1.68	-1.04	0.99	-1.12	1.82
	IV	-0.08	-0.08	0.08	0.14	-0.94	-1.01	0.96	1.68	-1.01	-1.09	1.04	1.82

DATA AVAILABILITY

The data that support the findings of this article are openly available [45].

APPENDIX A: NUMERICAL DETAILS OF SOURCE-FREE CONSTRAINT

Because plane-wave DFT is defined on periodic boundary conditions, we can start with the definition of the inverse discrete Fourier transform, because the density fields lie in regular three-dimensional grids,

$$\phi_{n} = \frac{1}{M} \sum_{k} \widehat{\phi}_{k} \exp(i2\pi k^{T} D^{-1} n)$$
$$= \mathcal{F}^{-1} \widehat{\phi}$$
where $D = \begin{pmatrix} N_{x} & 0 & 0\\ 0 & N_{y} & 0\\ 0 & 0 & N_{z} \end{pmatrix},$ $M = N_{x} N_{y} N_{z},$ (A1)

and $n_i, k_i \in [0, 1, ..., N_i]$, and N_x, N_y, N_z are the dimensions of the 3D grid. If we define the real-space position vector as $\mathbf{r} = LD^{-1}\mathbf{n}$, where *L* has columns as lattice vectors $L = [\mathbf{a} \mathbf{b} \mathbf{c}]$. Therefore, $\mathbf{k}^T D^{-1} \mathbf{n} = \mathbf{k}^T D^{-1} D L^{-1} \mathbf{r} = \mathbf{k}^T L^{-1} \mathbf{r}$. From here, we can apply the convenient "scaling" property describing how differential operators commute with the inverse discrete Fourier transform, where l = x, y, z is the dimension of the partial derivative,

$$\frac{\partial}{\partial r_l}\phi(\mathbf{r})\approx i2\pi\cdot\mathcal{F}^{-1}(q_l\widehat{\phi})$$

where
$$q_l = \sum_{j} L_{jl}^{-1} k_j, \quad q = L^{-T} k.$$
 (A2)

To obtain the (discrete) spectral approximation of the divergence of the B field,

$$\nabla \cdot \boldsymbol{B}_{xc} = \frac{\partial B_x}{\partial x} + \frac{\partial B_y}{\partial y} + \frac{\partial B_z}{\partial z}$$
$$\approx i2\pi \cdot \mathcal{F}^{-1}(q_x \widehat{\boldsymbol{B}}_x + q_y \widehat{\boldsymbol{B}}_y + q_z \widehat{\boldsymbol{B}}_z)$$
$$\approx i2\pi \cdot \mathcal{F}^{-1}(\boldsymbol{q}^T \widehat{\boldsymbol{B}}_{xc}). \tag{A3}$$

In order to solve the Poisson equation, as posed in Eq. (5), we apply a similar reasoning as before, and see that

$$\nabla^2 \phi = \frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} + \frac{\partial^2 \phi}{\partial z^2}$$

$$\approx -(2\pi)^2 \cdot \mathcal{F}^{-1}((q_x^2 + q_y^2 + q_z^2)\widehat{\phi})$$

$$\approx -(2\pi)^2 \cdot \mathcal{F}^{-1}(|\mathbf{q}|^2 \widehat{\phi}). \tag{A4}$$

Therefore, combining Eqs. (5), (A3), and (A4), we find that the discrete Fourier transform of ϕ can be expressed as

$$\widehat{\phi}(q) = \begin{cases} \frac{i}{2\pi} \frac{q \cdot \widehat{B}_{xc}(q)}{|q|^2}, & |q| \neq 0\\ 0, & |q| = 0 \end{cases}.$$
 (A5)

We are interested in $\nabla \phi$. Which we can approximate as

$$-\nabla\phi \approx \mathcal{F}^{-1}\left(\frac{\boldsymbol{q}^T \widehat{\boldsymbol{B}}_{xc}(\boldsymbol{q})}{|\boldsymbol{q}|^2} \boldsymbol{q}\right). \tag{A6}$$

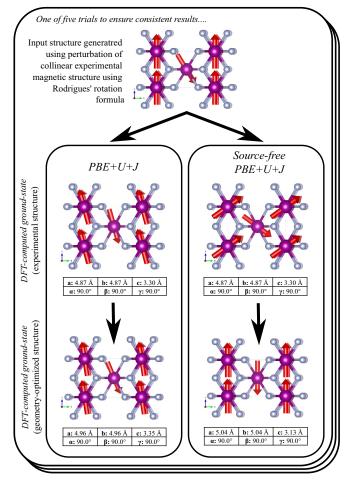


FIG. 7. Computed ground-state magnetic configurations for randomly perturbed input structure from the experimentally measured magnetic structure for MnF_2 . Comparisons are provided for the computed structures for PBE_{SF} vs PBE.

Therefore, the source-free correction is achieved by performing the following:

$$\widehat{\boldsymbol{B}'}_{xc}(\boldsymbol{q}) = \widehat{\boldsymbol{B}}_{xc}(\boldsymbol{q}) - \begin{cases} \frac{\boldsymbol{q} \cdot \boldsymbol{B}_{xc}(\boldsymbol{q})}{|\boldsymbol{q}|^2} \boldsymbol{q}, & |\boldsymbol{q}| \neq 0\\ 0, & |\boldsymbol{q}| = 0 \end{cases}$$
(A7)

and as a result, $\nabla \cdot \mathbf{B}_{xc'} = 0$ is obtained in the discrete numerical sense.

APPENDIX B: A GENERAL APPROACH TO SATISFY THE ZERO TORQUE THEOREM

Our goal is to solve for an auxillary field A' such that the zero torque condition is obeyed

$$\int_{\Omega} d\boldsymbol{r} \, \boldsymbol{m} \times \boldsymbol{B} = \int_{\Omega} d\boldsymbol{r} \, \boldsymbol{m} \times (\nabla \phi + \nabla \times \boldsymbol{A}') = \boldsymbol{0}.$$
 (B1)

The trivial solution, $\nabla \times A' = -\nabla \phi$, can only hold if $\nabla \phi = \mathbf{0}$. Therefore, we recast the problem as such

$$\int_{\Omega} d\boldsymbol{r} \, \boldsymbol{m} \times (\boldsymbol{\nabla} \times \boldsymbol{A}') = -\int_{\Omega} d\boldsymbol{r} \, \boldsymbol{m} \times \boldsymbol{\nabla} \phi = -\boldsymbol{\tau}'. \quad (B2)$$

By expanding the triple product, we see that

$$\boldsymbol{m} \times (\boldsymbol{\nabla} \times \boldsymbol{A}') = [m_j \partial_i A'_j - m_j \partial_j A'_i] \hat{\boldsymbol{e}}_i$$
$$= \boldsymbol{\nabla}_{\boldsymbol{A}'} (\boldsymbol{m} \cdot \boldsymbol{A}') - (\boldsymbol{m} \cdot \boldsymbol{\nabla}) \boldsymbol{A}'.$$
(B3)

At this step, we can apply the product rule, $u\partial_x v = \partial_x (uv) - v\partial_x u$, to show that integrals of the form $\int d\mathbf{r} \partial_x (uv)$ vanish under periodic boundary conditions (PBCs) by Eq. (S12) within the SM [4]. Having leveraged this convenient property of the PBCs, it is possible to transfer the derivatives from A' to \mathbf{m} such that we can restate Eq. (B2) as

$$\int d\boldsymbol{r} \, \boldsymbol{m} \times (\boldsymbol{\nabla} \times \boldsymbol{A}') = \int d\boldsymbol{r} \left[A'_i \partial_j m_j - A'_j \partial_i m_j \right] \hat{\boldsymbol{e}}_i$$
$$= -\boldsymbol{\tau}'. \tag{B4}$$

Now, we will introduce the following discretized approximation for the L^2 inner product on regular grids

$$\langle u, v \rangle = \int_{\Omega} d\mathbf{r} \, u(\mathbf{r}) v(\mathbf{r}) \approx \frac{1}{\Delta V} \mathbf{u}^T \mathbf{v},$$
 (B5)

where $\Delta V = \Delta x \Delta y \Delta z$. We introduce this shorthand for the purposes of this study; however, it is just as applicable to continuous functions. With this definition, we can recast the problem in Eq. (B4) as a matrix-vector system

$$M\boldsymbol{a} = -\boldsymbol{\tau}',\tag{B6}$$

where $\boldsymbol{a} = [\boldsymbol{A}'_{x}^{T} \boldsymbol{A}'_{y}^{T} \boldsymbol{A}'_{z}^{T}]^{T}$, and the matrix \boldsymbol{M} is defined as

$$M = \frac{1}{\Delta V} \begin{bmatrix} \boldsymbol{m}_{y,y}^{T} + \boldsymbol{m}_{z,z}^{T} & -\boldsymbol{m}_{y,x}^{T} & -\boldsymbol{m}_{z,x}^{T} \\ -\boldsymbol{m}_{x,y}^{T} & \boldsymbol{m}_{z,z}^{T} + \boldsymbol{m}_{x,x}^{T} & -\boldsymbol{m}_{z,y}^{T} \\ -\boldsymbol{m}_{x,z}^{T} & -\boldsymbol{m}_{y,z}^{T} & \boldsymbol{m}_{x,x}^{T} + \boldsymbol{m}_{y,y}^{T} \end{bmatrix},$$
(B7)

in which case $m_{i,j} = \frac{\partial m_i}{\partial x_j}$. Because the system of equations is underdetermined (i.e., *M* is "short and fat"), we can solve for *a* using a least-squares approach,

$$a = -M^+ \tau', \tag{B8}$$

which solves for the solution a with minimal L^2 norm,

$$||\boldsymbol{a}||_{2} = \left\{ \sum_{i=x,y,z} \int_{\Omega} d\boldsymbol{r} \, |\boldsymbol{A}_{i}'(\boldsymbol{r})|^{2} \right\}^{1/2}.$$
 (B9)

 M^+ is the corresponding Moore-Penrose right-hand pseudoinverse $M^+ = M^T (MM^T)^{-1}$ such that $MM^+ = I$, as long as the rows of M are linearly independent. We note that MM^T is diagonally dominant, because it contains L^2 norms (which are guaranteed to be positive) of the spatial partial derivatives of m along the diagonal. Therefore, MM^T should be invertible, so long as the magnetization varies in all spatial directions over the domain, which it should for spin-polarized systems.

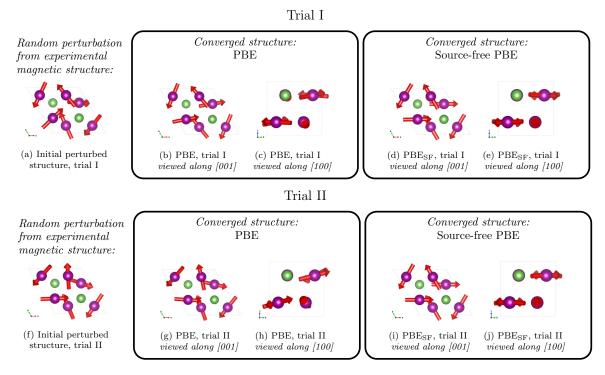


FIG. 8. Computed ground-state magnetic configurations for two input structures (trials I and II) randomly perturbed from the experimentally measured magnetic structure for Mn_3As . Comparisons are provided for the computed structures for PBE_{SF} vs PBE. For PBE_{SF} and PBE runs, input atomic positions and cell shape were first determined by performing structural relaxations, with moments initialized in the symmetric orientation.

In conclusion, by applying both source-free and ZTT corrections to the exchange-correlation magnetic field B_{xc} ,

$$B_{xc} \mapsto B'_{xc},$$

$$B'_{xc} = B_{xc} + \nabla \phi + \nabla \times A'.$$
(B10)

we can simultaneously satisfy:

(I) The source-free constraint

 $\boldsymbol{\nabla}\cdot\boldsymbol{B}'_{xc}=0.$

(II) The zero-torque theorem

$$\int_{\Omega} d\boldsymbol{r}\,\boldsymbol{m}\times\boldsymbol{B}'_{xc}=\boldsymbol{0}.$$

However, we should stress that the second ZTT constraint is not implemented in the code at present. In other words, we set A'(r) = 0 in the context of this study.

APPENDIX C: CHOICE OF Axc GAUGE

It is worth noting that two gauge choices of A_{xc} have been presented in the literature. Within the original studies of Vignale and Rasolt [8,11,13], the $\nabla \cdot (\rho A_{xc}) = 0$ arises naturally. However, by comparison, in [7], the Coulomb gauge $\nabla \cdot A_{xc} = 0$ is implied by the Helmholtz decomposition. Under the $\nabla \cdot A_{xc} = 0$ gauge, it is possible to solve for A_{xc} using the following Poisson equation:

$$\nabla \times (\nabla \times A_{xc}) = \nabla \times B_{xc},$$

$$-\nabla^2 A_{xc} + \nabla (\nabla \cdot A_{xc}) = \nabla \times B_{xc},$$

$$\nabla^2 A_{xc} = -\nabla \times B_{xc}.$$
 (C1)

In order to solve for A_{xc} subject to $\nabla \cdot (\rho A_{xc}) = 0$, we can employ another Helmholtz decomposition

$$A_{xc} = A'_{xc} + \nabla \xi, \qquad (C2)$$

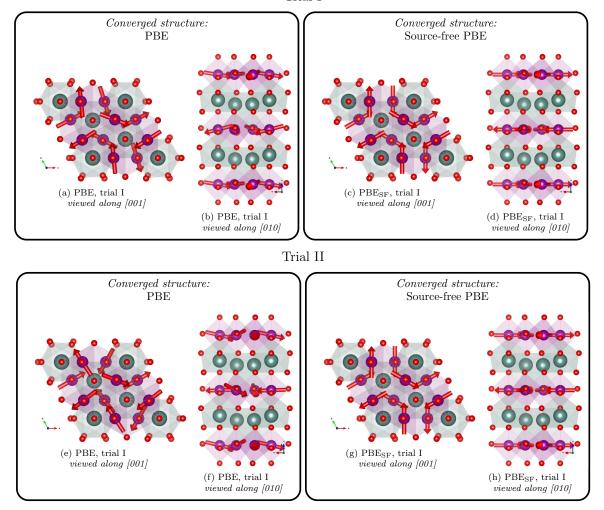
where $\nabla \cdot A'_{xc} = 0$ and is solved using Eq. (C1), and ξ is determined from the following elliptical equation:

$$\nabla \cdot (\rho \nabla \xi) = -\nabla \cdot (\rho A'_{xc}). \tag{C3}$$

However, the spatial dependence of ρ in Eq. (C3) makes the equation more difficult to solve using a single spectral solve step. Instead, an iterative spectral solver could be used to solve this elliptical equation, using the subtractive solver presented in Ref. [46], for example.

APPENDIX D: CONSIDERATIONS FOR *j_p* · *A_{xc}* ENERGY CONTRIBUTIONS UNDER PERIODIC BOUNDARY CONDITIONS

We will use the following result, Eq. (D1), to draw a few conclusions. Let us start by considering two differentiable functions $\alpha(\mathbf{r}) \in \mathbb{R}$ and $L(\mathbf{r}) \in \mathbb{R}^3$. The following integral can



Trial I

FIG. 9. Computed ground-state magnetic configurations for two input structures (trials I and II) randomly perturbed from the experimentally measured magnetic structure for $YMnO_3$. Comparisons are provided for the computed structures for PBE_{SF} vs PBE. For PBE_{SF} and PBE runs, input atomic positions and cell shape were first determined by performing structural relaxations, with moments initialized in the symmetric orientation.

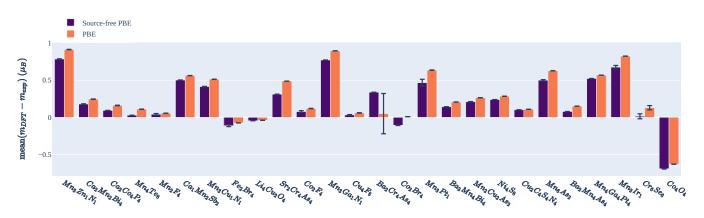


FIG. 10. Comparison plot of magnetic moment differences with experiment for PBE+U+J and PBE_{SF}+U+J for a selected number of experimental structures from the MAGNDATA Bilbao Crystallographic Server [29]. The *y* axis is the difference metric $(1/N) \sum_{i} (m_{DFT}^{i} - m_{exp}^{i})$, where m_{DFT}^{i} and m_{exp}^{i} are the magnetic moment magnitudes for site "*i*" from the DFT-computed and experimental magnetic structures, respectfully. The error bars represent the standard deviation over each random initialization of magnetic moments.

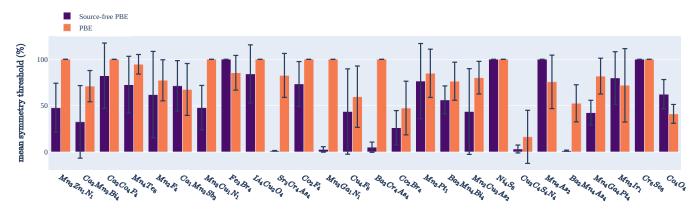


FIG. 11. Comparison plot of minimum symmetry tolerance agreement with experimentally resolved magnetic space group PBE+U+J and PBE_{SF}+U+J for a selected number of experimental structures from the MAGNDATA Bilbao Crystallographic Server [29]. The y-axis is the minimum symmetry tolerance (in μ_B) at which the experimental magnetic space-group is identified, normalized by max_i(m_{exp}^i). We use ISOTROPY's findsym to identify the magnetic space-group [36,37]. Zero percent indicates perfect agreement with experimentally determined symmetries, whereas one hundred percent indicates large disagreement. The error bars represent the standard deviation over each random initialization of magnetic moments.

(D1)

be expressed as

 $\int_{\Omega} \nabla \alpha(\mathbf{r}) \cdot \mathbf{L}(\mathbf{r}) \, d\mathbf{r}$

obeyed through the following:

$$\nabla \cdot (\boldsymbol{j}_p + \rho \boldsymbol{A}_{xc}) = 0.$$

By the Helmholtz identity, we can decompose j_p into the following:

$$\boldsymbol{j}_n = \boldsymbol{\nabla}\boldsymbol{\eta} + \boldsymbol{\nabla} \times \boldsymbol{\Gamma}. \tag{D3}$$

(D2)

Therefore, we may rewrite Eq. (D2) as

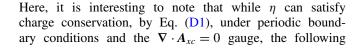
$$\nabla^2 \eta = -\nabla \cdot (\rho A_{xc}). \tag{D4}$$

If Ω obeys periodic boundary conditions, then $\oint_{\partial\Omega} [\alpha(\mathbf{r})L(\mathbf{r})] \cdot d\mathbf{S} = 0$. We can start by considering the Coulomb gauge $\nabla \cdot A_{xc} =$

 $= \oint_{\partial \Omega} \left[\alpha(\mathbf{r}) L(\mathbf{r}) \right] \cdot d\mathbf{S} - \int_{\Omega} \alpha(\mathbf{r}) \left[\nabla \cdot L(\mathbf{r}) \right] d\mathbf{r}$

 $= \int_{\Omega} \left\{ \nabla \cdot [\alpha(r)L(r)] - \alpha(r)[\nabla \cdot L(r)] \right\} dr$

0. If this gauge is chosen, charge conservation should still be



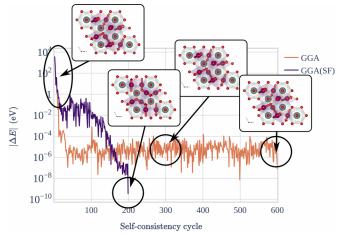


FIG. 12. Convergence of GGA_{SF} compared against GGA for YMnO₃, with moments randomly perturbed from the symmetric ground-state structure. Snapshots of the magnetic configuration at each self-consistency step are shown to convey the improved convergence characteristics of the source-free functional. The *y* axis is the absolute energy difference between subsequent self-consistency iterations, plotted on a logarithmic scale.

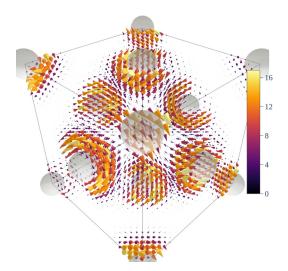


FIG. 13. Vector field visualization of the probability current density j_p within UO₂. Strong circulation of j_p is observed surrounding the uranium atoms, in gray.

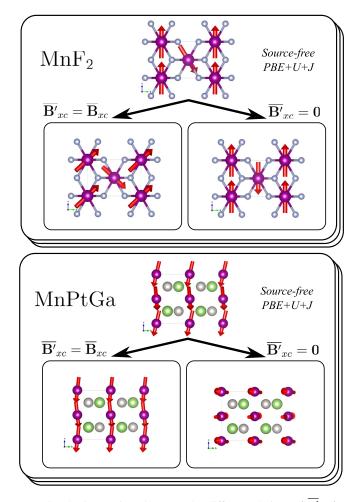


FIG. 14. Comparison between the different choices of \mathbf{B}'_{xc} for antiferromagnetic MnF₂ (top) and canted ferromagnetic MnPtGa (bottom). Treatment of \mathbf{B}'_{xc} alone can dictate the FM or AFM character of spin-polarized systems. As the inclusion of a q = 0 component changes the Kohn-Sham effective potential, the absolute energies computed with or without $\mathbf{\overline{B}}_{xc}$ are not directly comparable.

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is true:

$$\int_{\Omega} \nabla \eta \cdot \boldsymbol{A}_{xc} \, d\boldsymbol{r} = 0. \tag{D5}$$

Therefore, η will not enter into the energy term, Eq. (20), allowing us to conclude that

$$E_p \big|_{\nabla \cdot \mathbf{A}_{xc}=0} = \int_{\Omega} (\nabla \times \mathbf{\Gamma}) \cdot \mathbf{A}_{xc} \, d\mathbf{r}$$
$$\forall \left\{ \mathbf{j}_p, \ \mathbf{A}_{xc} \big|_{\nabla \cdot \mathbf{A}_{xc}=0} \right\}. \tag{D6}$$

Equation (D6) states that while the curl-free projection of \boldsymbol{j}_p , $\nabla \eta$, maintains local charge conservation, only the divergence-free projection, $\nabla \times \Gamma$, enters into the expression for E_p .

APPENDIX E: CONSIDERATIONS FOR $j_{p,\lambda}$

Starting from a Helmholtz decomposition of the probability current density

$$\boldsymbol{j}_{p,\lambda} = \boldsymbol{\nabla} \eta_{\lambda} + \boldsymbol{\nabla} \times \boldsymbol{\Gamma}_{\lambda}. \tag{E1}$$

We see that the following conservation law:

$$\nabla \cdot [\boldsymbol{j}_{p,\lambda} + m_{\lambda} \boldsymbol{A}_{xc}] = (\boldsymbol{m} \times \boldsymbol{B}_{xc})_{\lambda}$$
(E2)

only places a constraint on the curl-free contribution to $j_{p,\lambda}$, similarly to Eq. (D4),

$$\nabla^2 \eta_{\lambda} = -\nabla \cdot [m_{\lambda} A_{xc}] + (\boldsymbol{m} \times \boldsymbol{B}_{xc})_{\lambda}.$$
(E3)

Therefore, only the divergence-free component $\nabla \times \Gamma_{\lambda}$ is independent from this conservation law, and will contribute to the energy in an analogous form of Eq. (D6).

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